



INTELLECTUAL PROPERTY SUPPLEMENT

PLEASE TYPE OR PRINT IN INK. If space is insufficient to answer any questions, please use Applicant letterhead. Please answer all questions completely.

Applicant Name: _____ Policy No.: _____

1. **a.** Please identify the number of attorneys who represent client interests before the Patent and Trademark Office and the Copyright Office.

 - b.** Please identify the number of patent agents who represent clients before the Patent and Trademark Office and the Copyright Office.

 - c.** Do all patent/trademark/copyright attorneys and patent agents in the firm have 5 or more years of experience? Yes No
If no, are these patent/trademark/copyright attorneys and patent agents working under a senior partner who is responsible for the quality of their work? Yes No
 - d.** Please provide a history of the patent/trademark/copyright attorney's and patent agent's experience.
2. Please provide a breakdown by time spent of the types of services rendered: (Total must equal 100%)
Patent _____ % Trademark _____ % Copyright _____ %

a. Patent

Please provide a breakdown of the types of services rendered: (Total must equal 100%)

Services	Domestic	Foreign
Prosecution – Searches	_____ %	_____ %
Prosecution – Preparation	_____ %	_____ %
Prosecution – Filings	_____ %	_____ %
Litigation – Appeals	_____ %	_____ %
Litigation – Defense	_____ %	_____ %
Litigation – Plaintiff	_____ %	_____ %
Contracts/Licensing	_____ %	_____ %
Opinions	_____ %	_____ %
Other (please describe)	_____ %	_____ %

b. Trademark

Please provide a breakdown of the types of services rendered: (Total must equal 100%)

Services	Domestic	Foreign
Prosecution – Searches	_____ %	_____ %
Prosecution – Preparation	_____ %	_____ %
Prosecution – Filings	_____ %	_____ %
Litigation – Appeals	_____ %	_____ %
Litigation – Defense	_____ %	_____ %
Litigation – Plaintiff	_____ %	_____ %
Contracts/Licensing	_____ %	_____ %
Opinions	_____ %	_____ %
Other (please describe)	_____ %	_____ %



INTELLECTUAL PROPERTY SUPPLEMENT (cont'd)

Applicant Name: _____ Policy No.: _____

2. c. Copyright

Please provide a breakdown of the types of services rendered: (Total must equal 100%)

Services	Domestic	Foreign
Prosecution – Searches	_____ %	_____ %
Prosecution – Preparation	_____ %	_____ %
Prosecution – Filings	_____ %	_____ %
Litigation – Appeals	_____ %	_____ %
Litigation – Defense	_____ %	_____ %
Litigation – Plaintiff	_____ %	_____ %
Contracts/Licensing	_____ %	_____ %
Opinions	_____ %	_____ %
Other (please describe)	_____ %	_____ %

3. On Applicant letterhead, please identify the attorneys in the firm who handle Applicant's prosecution practice.

4. How many Patent/Trademark/Copyright applications were filed in the past 12 months? _____

5. Does Applicant maintain a separate date and docket control system for its Patent/Trademark/Copyright practice? Yes No

If yes, please describe on Applicant letterhead.

If no, why not? (Please describe on Applicant letterhead.)

6. Does Applicant assume responsibility for the payment of maintenance fees, taxes or annuities for any of your Patent/Trademark/Copyright clients? Yes No

7. Does Applicant use engagement or fee agreement letters, or termination letters on all Patent/Trademark/Copyright clients? Yes No

8. Does Applicant prohibit the acceptance of an equity interest or other financial interest in the client? Yes No

9. Does Applicant employ the services of other firms or companies to perform searches relating to your clients? Yes No

10. Is it the policy of Applicant to memorialize the results of a search in a written opinion letter? If no, please explain. Yes No

11. When rendering an opinion letter as to the results of a search, is it the policy and practice of Applicant to qualify the opinion by reference to the nature, scope and limitations of the search conducted? If no, please explain. Yes No

12. Does Applicant require your client to acknowledge, in writing, the specific country(ies) and territory(ies) the Patent/Trademark/Copyright filing is to be made? Yes No

13. Please indicate the percentage of clients which are: (Total must equal 100%)

_____ % Aerospace	_____ % Computer	_____ % Mechanical
_____ % Biotechnology	_____ % Electrical	_____ % Pharmaceutical
_____ % Chemical	_____ % Industrial	_____ % Other (please describe)



INTELLECTUAL PROPERTY SUPPLEMENT (cont'd)

Applicant Name: _____ Policy No.: _____

- 14. a. Please indicate the percentage of clients which are: (Total must equal 100%)
 - ____ % Start up firms
 - ____ % Medium sized firms
 - ____ % Large sized firms
- b. On Applicant letterhead, please provide the range of sales of the companies for whom Applicant prosecutes applications.
- c. Of the clients in 14.a., which are the following: (Total must equal 100%)
 - ____ % Individuals
 - ____ % Partnerships
 - ____ % Closely Held Corporations
 - ____ % Publicly Traded Companies
- 15. Please estimate the duration of the client/attorney relationship for the firm's Patent/Trademark/Copyright clients: (Total must equal 100%)
 - ____ % less than six months ____ % one to three years
 - ____ % six months to one year ____ % more than three years
- 16. a. For foreign patent filings, is client made aware of the limited time frame for these filings and the additional requirements necessary to complete the filings? If no, please explain. Yes No
- b. Is the client requested to sign a statement when declining to file a patent both domestically and internationally? If no, please explain. Yes No

I/We affirm that the information contained herein is true and complete to the best of my/our knowledge and that it shall be the basis of the policy of insurance and deemed incorporated therein, should the Company evidence its acceptance of this application by issuance of a policy.

Signature of Owner, Partner, or Officer _____ **Date** _____

Print Name _____ **Title** _____